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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/656,138	09/06/2000	Shinji Nozaki	500.39049X00	9178

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EXAMINER

PHUNKULH, BOB A

ART UNIT	PAPER NUMBER
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2661

DATE MAILED: 05/05/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/656,138

Applicant(s)

NOZAKI ET AL.

Examiner

Bob A. Phunkulh

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 06 September 0200.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-43 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 6, 7, 9-22 and 28-43 is/are rejected.
- 7) ☒ Claim(s) 2-5, 8 and 23-27 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 September 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Objections*

Claim 1 is objected to because of the following informalities: correct the subject matter "a port" in line 9 to --a reception port--. Appropriate correction is required.

### *Claim Rejections - 35 USC § 112*

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 6 recites the limitation "said registering means" in 3. There is insufficient antecedent basis for this limitation in the claim.

Claims 13-16, 31, 42-43 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claim 13, it is not clear what it meant by "a discrimination number for a VLAN to which another relay apparatus connected **to an own relay apparatus belongs**" as cited in the claim.

Regarding claim 31, it is not clear what it meant by "relay means for relaying said multicast packet received from said first logical network only one time after a first multicast packet has been received from **either said first logical network or said**

**second logical network**" as cited in the claim i.e. the multicast packet is received from the first logical network –thus not from the second logical network.

Regarding claims 42-43, the preamble of the claim cited "packet relay method" but there are no steps cited in the body of the claim to support the preamble.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 6-7, 9-16, 28-30, 34-36, and 39 are rejected under 35 U.S.C. 102(b) as being anticipated by Dobbins et al. (US 5,684,800), Dobbins.

Regarding claim 1, Dobbins discloses a network relay apparatus for connecting networks to each other, which are logically constructed, comprising:

a reception port (ports 1-3 ; see figure 5);

a transmission port; and

relay means for relaying a multicast packet between logically constructed networks based upon reception information containing reception port information related to a port which receives the multicast packet (access port), and reception source network information related to a first network functioning as a reception source of said multicast packet (source address); and also transmission information provided in

correspondence with said reception information and containing transmission port information related to a port through which said multicast packet is transmitted, and transmission source network information related to a second network functioning as a transmission destination (destination address) of said multicast packet (see col. 1 lines 59 to col. 2 line 14; col. 6 lines 13-45).

Regarding claim 6, a network relay apparatus as claimed in claim 1 wherein: said registering means additionally registers information related to a multicast packet into said transmission information when the multicast packet is received (see col. 4 lines 37-43)

Regarding claim 7, a network relay apparatus as claimed in claim 1 wherein: said transmission information includes: rewrite information which is provided with a multicast packet and is rewritten when the multicast packet is relayed (add a VLAN header to unsupported packet, see col. 3 lines 6-25).

Regarding claim 9, a network relay apparatus as claimed in claim 1 wherein: said network relay apparatus is further comprised of: a learning process unit for forming both said reception information and said transmission information while the multicast packet is relayed (the discovery agent 88, see col. 4 lines 37-43).

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Regarding claim 10, Dobbins discloses a network relay apparatus as claimed in claim 1 wherein: said reception information includes: a destination address and a transmission source address, which are related to such a multicast packet which has been registered as said reception information; and packet discrimination information capable of discriminating as to whether or not said multicast packet registered as said reception information has already been registered into said reception information (see col. 1 line 59 to col. 2 line 13; and col. 3 lines 6-20).

Regarding claim 11, Dobbins discloses a network relay apparatus as claimed in claim 10 wherein: said packet discrimination information includes: reception source network information related to a network of a reception source concerning the multicast packet which is registered into said reception information; and reception port information related to a multicast packet received port (see col. 1 line 59 to col. 2 line 13; and col. 3 lines 6-20).

Regarding claim 12, Dobbins discloses a relay apparatus (a switch, see figure 3) comprising:

a reception processing unit for performing a multicast packet allocating operation in response to a sort of a multicast packet (in ports 80 and management agent 87);

a relay processing unit for performing a relay processing operation capable of transmitting the multicast packet allocated by said reception processing unit to a transmission destination (call processor 89, see figure 3); and

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a learning process unit (discovery agent 88, see figure 3) for performing such an operation that while said relay processing operation by said relay processing unit is carried out, information related to said transmitted multicast packet is registered into a predetermined table (see col. 4 lines 27-43; and figure 3).

Regarding claim 13, Dobbins discloses a relay apparatus (a switch 11 or 12 or 13 or 14) for constituting a VLAN (Virtual Local Area Network 100 or 5 or 20, see figure 5), comprising:

a VLAN table including:

a discrimination number for a VLAN to which another relay apparatus connected to an own relay apparatus belongs;

port information related to a port connected to said another relay apparatus, which is provided in correspondence with said discrimination number; and

valid port information provided in correspondence with said port information, capable of discriminating as to whether or not said another relay apparatus owns a learning function by which information related to a multicast packet is registered into a predetermined table similar to an own relay apparatus; and in which when said another relay apparatus owns said learning function, said port is regarded as a valid port and is registered (see figures 3 and 6; and col. 7 lines 1-18).

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Regarding claim 14, Dobbins discloses a relay apparatus as claimed in claim 13 wherein: said valid port information is registered as a valid port, since the own relay apparatus transmits/receives a signal to/from said another relay apparatus (see col. 4 lines 37-43).

Regarding claim 15, Dobbins discloses a relay apparatus as claimed in claim 14 wherein: said signal corresponds to such a packet exclusively used in said relay apparatus (see col. 4 lines 37-43).

Regarding claim 16, Dobbins discloses a relay apparatus as claimed in claim 15 wherein: said signal corresponds to such a signal produced by adding specific information to a header of a packet (adds a VLAN header for unsupported protocol, see col. 3 lines 6-25).

Regarding claim 28, an information relay apparatus for constituting a logical network, comprising:

- a multicast relay destination registering table into which when a multicast packet is received from more than one network which are physically identical to each other, but are logically different from each other, one network among said networks physically identical to each other, but logically different from each other is registered as a representative network (see figure 5 and col. 3 lines 6-25).



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Regarding claim 29, Dobbins discloses an information relay apparatus for constituting logical networks and for relaying a multicast packet received from a first logical network to a second logical network, comprising:

a reception port (see figure 5);

a transmission port (see figure 5); and

relay means operated in such a manner that said multicast packet transmitted from said first logical network is received via one port from another information relay apparatus which similarly constitutes logical networks, and said multicast packet is transmitted via the other port to another logical network containing either said first logical network or said second logical network (each SFPS and the end systems attached to the switch comprises of a logical network, a multicast packet receives from a reception port is transmitted to another logical network see figure 5).

Regarding claim 30, Dobbins discloses an information relay apparatus for relaying a multicast packet among logically formed networks, wherein: in such a case that similar to an own information relay apparatus, another information relay apparatus connected to the own information relay apparatus owns a learning function related to a relay process operation of a multicast packet, both said own information relay apparatus and said another information relay apparatus constitute a logical network which is exclusively employed so as to perform the multicast relay process operation.

Regarding claim 34, Dobbins discloses a relay apparatus for connecting networks to each other, which are logically constructed; comprising:

a learning table into which transmission destination information of transmission destinations are registered, while a multicast packet is relayed, an own relay apparatus being required to transmit the multicast packet to said transmission destinations; and

a learning process unit (discover agent 88, see figure 3) for relaying said multicast packet to the transmission destinations registered in said learning table by comparing both a destination address and a transmission source address of a multicast packet received from one network among said networks with said learned transmission destination information which is registered in said learning table (see col. 4 lines 27-43; and figure 3).

Regarding claim 35, Dobbins discloses a relay apparatus for connecting networks to each other, which are logically constructed, comprising:

a learning table into which information used to relay a multicast packet is registered; and

a learning process unit (discovery agent 88, see figure 3) for relaying said multicast packet to ports contained in the learned information registered in said learning table by comparing both a destination address and a transmission source address of a multicast packet received from one network among said networks with said learned information which is registered in said learning table (see col. 4 line 27-43 and figure 3).

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Regarding claim 36, Dobbins discloses an apparatus (switch 11 or 12 or 13 or 14, see figure 3) comprising: a port;

and a multicast information table (database 88) including a reception information area into which both destination information and transmission source information contained in a multicast packet received from a first logically-constituted network are registered in correspondence with network information related to said first network; and

a transmission information area into which a second logically-constituted network is registered in correspondence with a port connected to said second network, a multicast packet being transmitted to said logically-constituted second network (see figure 3; and col. 4 lines 27-62).

Regarding claim 39, Dobbins discloses a packet relay system comprising: a plurality of terminals (end systems 20A-20L, see figure 5); a plurality of networks arranged by logically combining said plurality of terminals (a network comprises of end systems and a switch, see figure 5); and

a relay apparatus connected among said plurality of networks, including:

a learning table into which transmission destination information of transmission destinations are registered, while a multicast packet is relayed, an own relay apparatus being required to transmit the multicast packet to said transmission sources; and

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a learning process unit (discovery agent 88, see figure 3) for relaying said multicast packet to the transmission destinations registered in said learning table by comparing both a destination address and a transmission source address of a multicast packet received from one network among said networks with said learned transmission destination information which is registered in said learning table (see col. 4 line 27-43 and figure 3).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 17-22, 31-33, 37-38, 40-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dobbins in view of Hirst et al. (US 6,581,166), hereinafter Hirst.

Regarding claim 17, Dobbins discloses an information relay apparatus (switch 11 or 12 or 13 or 14) for relaying information in a plurality of logical information networks (VLANs), comprising:

a multicast relay destination registering table into which a relay destination in a predetermined multicast relay process operation is registered (col. 7 lines 1-18, see figure 6); and

multicast relay means operated in such a manner that when data is relayed from networks which are physically identical to each other, but are logically different from each other to a same destination with reference to said multicast relay destination registering table (see figure 3).

Dobbins fails to disclose data having a same content are relayed only one time to said same destination with respect to a relay destination.

Hirst, on the other hand, teaches due to the fact that multicast and broadcast packets may be sent over both networks, it is frequently the case that a particular station will receive duplicate packets from the two networks. In that case, the one of the packet is discarded after a short predetermined amount of time (see col. 9 lines 25-50).

Therefore, it would have been obvious to one having ordinary skill in the art at the time of invention was made to cause Dobbins' switches to forward one or a pre-selected set of packets to its destination when receiving multicast packets having the same content and destination in order to avoid overloading the network capacity.

Regarding claim 20, Dobbins discloses the networks which are physically identical to each other, but are logically different from each other include: a logical network which is exclusively provided in order to execute a multicast relay process operation (see figure 5).

Regarding claim 21, Dobbins discloses a multicast packet is received from more than one network which are physically identical to each other, but are logically different

from each other, one network selected from more than one network which are physically identical to each other, but are logically different from each other is registered as a representative network in said multicast relay destination registering table (see figure 6; and col. 7 lines 1-18).

Regarding claim 22, Dobbins discloses the multicast relay means receives a multicast packet from said network registered as the representative network, said multicast relay means relays said received multicast packet with respect to transmission destinations which are registered in said multicast relay destination registering table (see col. 4 lines 37-43).

Regarding claims 18, 19, Dobbins discloses an information relay apparatus for relaying information in a plurality of logical information networks, comprising:

- a reception port;

- a transmission port; and multicast relay means for receiving/transmitting only one time, one of multicast packets from information networks which are physically identical to each other, but are logically different from each other after a first multicast packet has been received from said information networks, and thereafter, a preselected time duration has passed.

Regarding claim 31-33, 37-38, and 40, Dobbins discloses a packet relay system having a plurality of logically-arranged logical networks and for relaying a multicast

packet between said logical networks, comprising: an apparatus corresponding to a destination of a multicast packet; and a relay apparatus for receiving a plurality of multicast packets directed to said apparatus, and for transmitting to said apparatus (see figure 5).

Regarding claim 41, Dobbins discloses a packet relay system comprising: a plurality of logically-arranged logical networks (see figure 5); and a relay apparatus (switch 11 or 12 or 13 or 14) connected to said plurality of logical networks, for transmitting a single multicast packet to said plurality of logical networks, whereby said packet relay system relays the multicast packets between the logical networks.

Regarding claim 42, Dobbins discloses a packet relay method for relaying a multicast packet between logically-arranged logical networks (VLAN network have plurality of logical networks, see figure 5),

wherein: a plurality of multicast packets; and in a case that a plurality of logical networks are present in an apparatus corresponding to the destination of said received multicast packets, one of said received multicast packets is transmitted to said apparatus.

Regarding claim 43, Dobbins discloses a packet relay method for relaying a multicast packet between logically-arranged logical networks, wherein: a plurality of multicast packets; and in a case that a plurality of logical networks are present in an

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apparatus group corresponding to the destination of said received multicast packets, a preselected set of said received multicast packets are transmitted to said apparatus group.

Dobbins fails to disclose the multicast packets having the same contents and same destination, and forwarding one or a pre-selected set of packets to the destination (duplicate packets).

Hirst, on the other hand, teaches due to the fact that multicast and broadcast packets may be sent over both networks, it is frequently the case that a particular station will receive duplicate packets from the two networks. In that case, the one of the packet is discarded after a short predetermined amount of time (see col. 9 lines 25-50).

Therefore, it would have been obvious to one having ordinary skill in the art at the time of invention was made to cause Dobbins' switches to forward one or a pre-selected set of packets to its destination when receiving multicast packets having the same content and destination in order to avoid overloading the network capacity.

***Allowable Subject Matter***

Claims 2-5, 8, 23-27 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.



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**Conclusion**

**Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks

Washington, D.C. 20231

**or faxed to:**

(703) 872-9314, (for formal communications intended for entry)

**Or:**

Hand-delivered responses should be brought to Crystal Park II, 2021

Crystal Drive, Arlington, VA., Sixth Floor (Receptionist).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Bob A. Phunkulh** whose telephone number is **(703) 308-8251**. The examiner can normally be reached on Monday-Friday from 8:00 A.M. to 4:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor **Douglas W. Olms**, can be reach on **(703) 305-4703**. The fax phone number for this group is **(703) 872-9314**.

**Bob A. Phunkulh**



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